



Meridian Wealth Management, LLC  
100 W. Main Street, Suite 450  
Lexington, KY 40507

## PRIVACY POLICY NOTICE

Effective: March 28, 2025

Meridian Wealth Management, LLC (Meridian) is committed to safeguarding the use of your personal information that we possess as your Investment Advisor. Meridian (also referred to as we, our, and us throughout this notice) protects the security and confidentiality of the personal information we have and implements control to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you. Meridian does not sell your non-public personal information to anyone, nor do we provide such information to others except for discrete and proper business purposes connected with the servicing and management of our relationship with you, as discussed below. Details of our approach to privacy and how your personal non-public information is collected and used are outlined in our Privacy Policy.

## PRIVACY POLICY

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An important part of the relationship we have with our clients is the information they share with us. We want each client to know how we treat their private information. We keep personal information such as Social Security Numbers and account balances confidential. We take steps to safeguard this data from anyone who should not have access to it. **We do not sell this information to anyone.**

In dealing with Meridian Wealth Management, LLC clients can expect that we will take the steps outlined below to keep all their information confidential and secure.

## OUR PRIVACY POLICY

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In providing financial services and products (“Service”) to our clients, we collect certain non- public information about them. Our policy is to keep this information confidential and strictly safeguarded, and to use or disclose it only as needed to provide services to our clients, or as permitted by law. Protecting your privacy is important to us.

## INFORMATION WE COLLECT

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The non-public personal information we have about clients includes the information they give us when opening an account or communicating with us. This could include:

- Name, address, phone number, and email address
- Social Security Number or Taxpayer Identification Number
- Driver’s License or State-Issued Identification Card Number
- Investment objectives, experience, and goals
- Financial circumstances such as income, expenses, assets, and liabilities.
- Employment history
- Account Information such as balances, transactions, and investment activities

## HOW WE SHARE YOUR PERSONAL INFORMATION

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We do not sell personal client information to anyone.

**Affiliates.** We may share personal information about you with our affiliated companies for everyday business purposes, however, our affiliated companies are not permitted to use this information to market their products or services to you.

We do not disclose personal information about our clients to non-affiliated third parties, without expressed written consent. We may disclose anonymous information that cannot be linked to an individual client on occasion, but only to companies that we hire to help us provide products and services to our clients, or as required by law, or as authorized by the client personally, or as otherwise described in this Privacy Policy.

**Service Providers.** Companies and individuals that provide services on our behalf or help us operate our services and business (such as IT, hosting, investment trading, customer relationship management and support, print and mail fulfillment, data management, email delivery, etc.).

**Service-Related Third Parties.** Brokers, custodians, administrators, transfer agents, investment funds and their respective managed and other non-affiliated third parties as necessary to provide our services to you.

## HOW INFORMATION IS USED

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We use your personal information for the following purposes:

**Service Delivery.** We use your personal information to provide, operate, and improve the Service; execute your transactions; provide support for the service; and respond to your inquiries, questions and feedback.

**Compliance and Operations.** We may use your personal information to: comply with applicable laws, lawful requests, and legal process, such as to respond to subpoenas or requests from government authorities; protect our, your or others' rights, privacy, safety or property (including by making and defending legal claims); audit our internal processes for compliance with legal and contractual requirements and internal policies; and prevent, identify, investigate and deter fraudulent, harmful, unauthorized, unethical or illegal activity, including cyberattacks and identity theft.

## HOW INFORMATION IS SAFEGUARDED

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We have procedures in place that we believe are reasonably designed to protect the security and confidentiality of client information. These include confidentiality agreements with companies we hire to help us provide services to clients, password-protected user access to our computer files, and strict confidentiality policies that apply to all MWM personnel, vendors and contractors.

## YOUR DATA CHOICES

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You have the following choices with respect to your personal information:

**Decline to provide information.** We need to collect personal information to provide certain services. If you do not provide the information requested, we may not be able to provide those services.

**How to contact us.** You can reach us in the following ways:

- Mail: 100 West Main Street, Suite 450 Lexington, KY 40507
- Website: [www.meridianwealthllc.com](http://www.meridianwealthllc.com)
- Phone: (859) 543-4516
- Email: [Compliance@meridianwealthllc.com](mailto:Compliance@meridianwealthllc.com)